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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15082

In Re Application Of: Hiroshi Miyajima, et al.

MAY 10 2002

JC24  
PATENT & TRADEMARK OFFICE

Serial No.  
09/990,658

Filing Date  
November 16, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **OPTICAL DEFLECTOR**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Title: **OPTICAL DEFLECTOR**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 5/6/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Thomas Spinelli  
 Signature

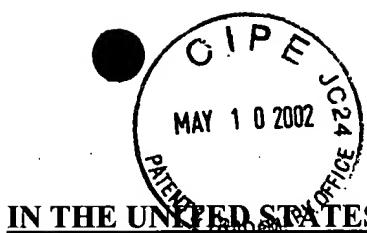
Dated: May 6, 2002

Thomas Spinelli  
 Registration No.: 39,533

Scully, Scott, Murphy & Presser  
 400 Garden City Plaza  
 Garden City, New York 11530  
 (516) 742-4343

CC:

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Hiroshi Miyajima, et al.

**Examiner:** Unassigned

**Serial No:** 09/990,658

**Art Unit:** Unassigned

**Filed:** November 16, 2001

**Docket:** 15082

**For:** OPTICAL DEFLECTOR

**Dated:** May 6, 2002

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

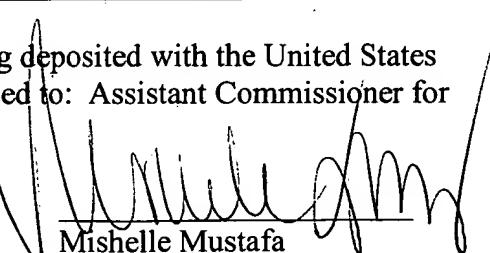
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. PCT Application No, WO 00/50950, dated August 31, 2000;
2. European Patent Application No. EP 1 087 248 A1, published March 28, 2001;
3. European Patent Application No. 0 686 863 A1, published December 13, 1995;
4. European Patent Application No. EP 0 778 657 A1, published June 11, 1997;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 6, 2002.

Dated: May 6, 2002

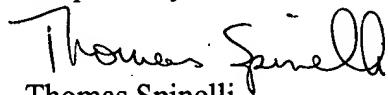
  
Mishelle Mustafa

5. Patent Abstract of Japan Publication No. 62210418, published September 16, 1987;
6. Patent Abstracts of Japan Publication No. 01195414, published August 7, 1989; and
7. U.S. Patent No. 5,748,172, dated May 5, 1998 issued to Song et al.

The references were cited in a Search Report received from the European Patent Office dated March 21, 2002. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. Please note that the other reference cited in the Search Report, namely, U.S. Patent No. 6,122,089, dated September 19, 2000 was filed in applicant's previously submitted Information Disclosure Statement dated November 16, 2001. The relevance of the references is described in the Search Report.

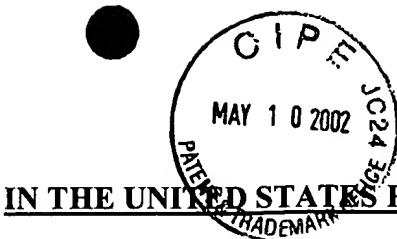
Applicants are also submitting herewith a Statement Pursuant to 37 C.F.R. § 1.704(d). No fee is required.

Respectfully submitted,

  
Thomas Spinelli  
Registration No.: 39,533

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

TS:cm



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Hiroshi Miyajima, et al.

**Examiner:** Unassigned

**Serial No:** 09/990,658

**Art Unit:** Unassigned

**Filed:** November 16, 2001

**Docket:** 15082

**For:** OPTICAL DEFLECTOR

**Dated:** May 6, 2002

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)

Sir:

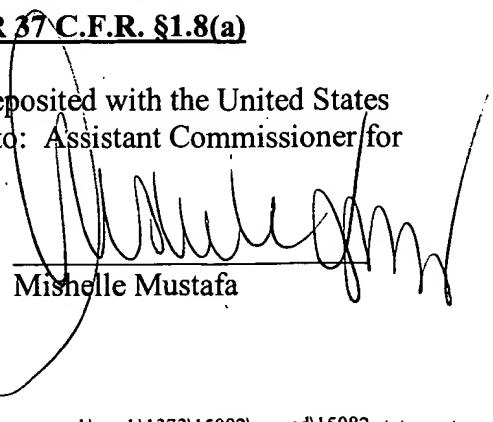
I hereby state that each item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

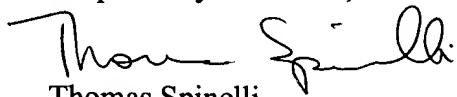
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Dated: May 6, 2002

  
Mishelle Mustafa

Therefore, the Information Disclosure Statement submitted herewith cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,



Thomas Spinelli  
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